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3-31-04

Date of Deposit

James L. Katz, Reg. No. 42,711

Name of Applicant, Assignee or
Registered Representative

Signature

3-31-04

Date of Signature

Our Case No.: 4672/369

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Brady et al.

Serial No.: 10/726,851

Filing Date: December 2, 2003

For: NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES

Examiner: Not yet assigned

Group Art Unit No.: Not yet assigned

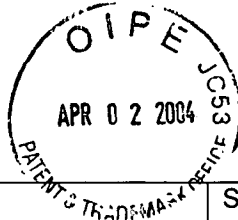
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.H./



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| FORM PTO-1449 | SERIAL NO. 10/726,851 | CASE NO. 4672/369 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 2, 2003 | GROUP ART UNIT Not yet assigned |
| (use several sheets if necessary) | | APPLICANT(S): Brady et al. |

REFERENCE DESIGNATION

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This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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Attorney for Applicant(s)

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| (use several sheets if necessary) | | APPLICANT(S): Brady et al. |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
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